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WAVE EQUATIONS

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A NEW PROOF AND AN EXTENSION OF A THEOREM OF P. RABINOWITZ  
CONCERNING NONLINEAR WAVE EQUATIONS

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ABSTRACT

In 1978, P. Rabinowitz proved a theorem concerned with the existence of a nontrivial periodic solution of a nonlinear wave equation with a continuous increasing superlinear nonlinear term. In this paper we present a new and simpler proof of this theorem and relax an assumption on the nonlinear term, which is a discontinuous nondecreasing function.

AMS(MOS) Subject Classification: 35L05, 35L60, 35B10, 49G99.

Key words: nonlinear wave equation, mountain pass lemma,  
critical point, duality argument, generalized gradient.

Work Unit No. 1 - Applied Analysis.

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# SIGNIFICANCE AND EXPLANATION

The existence of a nontrivial periodic solution of a nonlinear wave equation was obtained by P. Rabinowitz. We present a new and simpler proof and extend the result to a more general case.

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A NEW PROOF AND AN EXTENSION OF A THEOREM OF P. RABINOWITZ  
CONCERNING NONLINEAR WAVE EQUATIONS.

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This paper concerns a new simpler proof of the existence of a nontrivial periodic solution of a nonlinear wave equation. Namely, we prove the following theorem:

Theorem Suppose that

(G<sub>1</sub>)  $g: \mathbb{R}^1 \rightarrow \mathbb{R}^1$  is a nondecreasing function such that  $g^{-1}(0)$  is a closed interval including 0.

(G<sub>2</sub>)  $\exists \theta \in [0, 1/2)$  and a constant  $C_0$  such that

$$G(t) := \int_0^t g(s) ds < \begin{cases} \theta t g(t - 0) & \text{for } t > C_0 > 0 \\ \theta t g(t + 0) & \text{for } t < -C_0 < 0 \end{cases}$$

(G<sub>3</sub>)  $\lim_{t \rightarrow 0} g(t)/t = 0$ .

Then there exists a nontrivial (weak) solution  $u \in L^\infty$  of

$$u_{tt} - u_{xx} + g(u) = 0 \quad \text{for } (x, t) \in \Omega := (0, \pi) \times (0, 2\pi) \quad (1)$$

$$(I) \quad u(0, t) = u(\pi, t) = 0 \quad (2)$$

$$u(x, 0) = u(x, 2\pi) \quad (3)$$

It is well known, that the pioneering work of P. Rabinowitz [1] made a breakthrough and stimulated great interest in this problem. The assumption (G<sub>1</sub>) in our theorem is slightly weaker than that in [1], where  $g$  is assumed to be continuous. Recently, H. Brézis, J. M. Coron and L. Nirenberg [2]

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presented a simpler proof of the theorem of Rabinowitz, under slightly different conditions. Their method of proof, which is based on a duality argument and a modified (P. S. condition and) mountain pass lemma, is quite powerful. After learning of their paper, we tried to better understand their method, and to modify their proof so that one does not have to change the (P. S. condition and the) mountain pass lemma. There are two main differences between the techniques of [2] and this paper: (1) In [2], the inverse function of  $g$  is truncated into a bounded function, but in this paper, it is truncated into a power function, as a result of which the mountain pass condition becomes easier to check and it is not necessary to assume the period is small; (2) An  $\epsilon$ -perturbation technique is used here, in order to ensure that the P. S. condition holds. It seems that our technique is easier to extend to case where  $g(u)$  is discontinuous.

Let  $Au = u_{tt} - u_{xx}$  be the wave operator acting on functions in  $L^1$  satisfying (2), (3). Let  $N(A)$  be the kernel of  $A$ , and  $R(A)$  be the range of  $A$ . It is known [2] that

$$N(A) = \{p(t+x) - p(t-x) \mid p \text{ is } 2\pi\text{-periodic}, p \in L^1_{loc}(R) \text{ and } \int_0^{2\pi} p = 0\}.$$

Let  $K$  be the inverse of  $A$ ,  $K$  is defined on  $R(A)$ , and bounded in the following sense [2]:

$$\|Ku\|_{L^\infty} \leq C_1 \|u\|_{L^1}. \quad (4)$$

Let  $\hat{g}$  be the maximal monotone graph associated with the nondecreasing function  $g$ , i.e.  $\hat{g}$  is the set-valued map:  $u \mapsto [g(u-0), g(u+0)]$ . Let  $\hat{h}$  be the inverse maximal monotone graph of  $\hat{g}$ , i.e. for  $u \in \hat{h}(v)$  if and only if  $v \in \hat{g}(u)$ . According to the assumption  $(G_2)$ ,  $g(u) \rightarrow \pm \infty$  as  $u \rightarrow \pm \infty$  (see below (23)), except if  $g \equiv 0$  (but in this case the existence of nontrivial solutions is obvious), therefore to define a nondecreasing function  $h: R^1 \rightarrow R^1$  such that  $\hat{h}(v) = [h(v-0), h(v+0)]$ .

According to the assumption  $(G_1)$ ,  $0 \in \hat{h}(0)$ .

1° As in [2], we begin by converting our problem (I) into the following problem:

(II) Find  $v \in E := \{v \in L^{p'}(\Omega) \mid \int v \varphi = 0 \quad \forall \varphi \in L^p(\Omega) \cap N(A)\}$  where  
 $\frac{1}{p} + \frac{1}{p'} = 1$ ,  $p \in (2, \frac{1}{\theta})$  and  $\chi \in N(A)$  such that  $v, \chi$  satisfy

$$\chi \in Kv + \hat{h}(v)$$

For if  $v$  is a nontrivial solution of (5), set  $u = \chi - Kv$ , we have

$$\begin{cases} Au + v = 0 \\ u \in \hat{h}(v) \end{cases}$$

Then

$$Au + \hat{g}(u) \ni 0.$$

Then by Theorem 3.1 in [3],  $u$  is also a nontrivial solution of the problem (I).

2°. Before solving the problem (II) we consider a truncated and perturbed problem:

Find  $v \in E$ ,  $\chi \in N(A)$  such that

$$\chi \in Kv + \hat{h}_{M,\epsilon}(v) \quad (6)$$

where

$$h_{M,\epsilon}(v) = h_M(v) + \epsilon |v|^{p'-2} v$$

and

$$h_M(v) = \begin{cases} h(M) \left[ 1 + \frac{1}{M^{p'-1}} (v-M)^{p'-1} \right] & \text{if } v > M \\ h(v) & \text{if } |v| < M \\ h(-M) \left[ 1 + \frac{1}{M^{p'-1}} |v+M|^{p'-1} \right] & \text{if } v < -M \end{cases}$$

Let

$$H_{M,\epsilon}(v) = \int_0^v h_{M,\epsilon}(s) ds \quad (7)$$

$H_{M,\varepsilon}(v)$  is a convex function, provided that  $h_{M,\varepsilon}$  is nondecreasing. We look for critical points of the following functional on  $E$

$$f_{M,\varepsilon}(v) = \frac{1}{2} \int_{\Omega} K v \cdot v + \int_{\Omega} H_{M,\varepsilon}(v). \quad (8)$$

It is worth pointing out that the functional  $f_{M,\varepsilon}$  is not differentiable on the space  $E$ , but only locally Lipschitzian. The critical point  $v_0$  of  $f_{M,\varepsilon}$  is understood in the generalized sense, i.e.,  $\theta \in \partial f_{M,\varepsilon}(v_0)$  where  $\partial f$  is the generalized gradient of  $f$  (cf. [4][5]).

Note that

$$\begin{aligned} \partial f_{M,\varepsilon}(v) &\subset \partial \frac{1}{2} \int_{\Omega} K v \cdot v + \partial \int_{\Omega} H_{M,\varepsilon}(v) \\ &= K v + \partial \int_{\Omega} H_{M,\varepsilon}(v) \end{aligned}$$

The functional  $v \mapsto \int_{\Omega} H_{M,\varepsilon}(v)$  is convex and the generalized gradient for a convex functional coincides with its subdifferential. The Hahn Banach separation theorem implies that the subdifferential of  $\int_{\Omega} H_{M,\varepsilon}(v)$  on the space  $E$  is equal to  $\hat{h}_{M,\varepsilon}(v) - \chi$  where  $\chi \in N(A)$ . This proves

$$\partial f_{M,\varepsilon}(v) \subset K v + \hat{h}_{M,\varepsilon}(v) - \chi.$$

Therefore, if  $v_0$  is a critical point of  $f_{M,\varepsilon}$ , then it solves the relation (6).

3°. Now we apply the mountain pass lemma (for locally Lip. functionals (cf. [4], Theorem 3.4) to prove the existence of a nontrivial critical point of  $f_{M,\varepsilon}$ .

The mountain pass lemma reads as follows:

Let  $X$  be a Banach space, let  $f$  be a locally Lipschitzian function defined on  $X$ . Assume the following conditions on  $f$  are satisfied:

(1). The (P.A.)<sup>+</sup> condition. i.e. Any sequence  $\{x_n\}$  in  $X$ , along which



$\exists$  constants  $\alpha_1, \alpha_2$  such that  $0 < \alpha_1 \leq f(x_n) \leq \alpha_2$  and

$$\lambda(x_n) := \min_{w_n \in \partial f(x_n)} \|w_n\| \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

possesses a convergent subsequence.

(2) There exists  $r, \rho > 0$  with  $f > 0$  in the ball without  $\theta : B_r \setminus \theta$  and  $f|_{S_r} > \rho$  where  $S_r$  is the sphere with radius  $r$ .

(3) There is an  $e \in X$ ,  $e \neq \theta$  such that  $f(e) = 0$ . Then  $f$  has a nontrivial critical point.

Verification of the (P. S.)<sup>+</sup> condition.

Let  $\{v_n\}$  be a sequence in  $E$  such that

$$0 < \alpha_1 \leq f_{M,\varepsilon}(v_n) \leq \alpha_2 \quad (9)$$

$$\lambda(v_n) := \min_{w_n \in \partial f_{M,\varepsilon}(v_n)} \|w_n\|_{L^p} \rightarrow 0 \quad \text{as } n \rightarrow \infty. \quad (10)$$

We shall prove that  $v_n$  possesses a convergent subsequence in  $E$ .

(1) Firstly, we show  $v_n$  is bounded:  $\|v_n\|_{L^p} \leq C$  (in the following, various constants are all denoted by  $C$  if there is no confusion). We have

$$\alpha_1 \leq \int_{\Omega} \frac{1}{2} (Kv_n)v_n + \int_{\Omega} H_{M,\varepsilon}(v_n) \leq \alpha_2 \quad (11)$$

$$w_n + \chi_n \in Kv_n + \hat{h}_{M,\varepsilon}(v_n) \quad (12)$$

where  $\|w_n\|_{L^p} = \lambda(v_n)$  and  $\chi_n \in N(A)$ . Equation (12) implies that

$$\exists \xi_n = \eta_n + \varepsilon |v_n|^{p'-2} v_n \quad \text{with } \eta_n \in \hat{h}_M(v_n) \quad \text{such that}$$

$$w_n + \chi_n = Kv_n + \xi_n. \quad (13)$$

Combining (11) with (13), we obtain

$$\int H_{M,\varepsilon}(v_n) - \frac{1}{2} \xi_n \cdot v_n \leq \alpha_2 + \frac{1}{2} \lambda(v_n) \|v_n\|_{L^{p'}}. \quad (14)$$

However, there is a constant  $C_M$ , such that

$$(\sigma_M^\pm + \varepsilon) |v|^{p'-2} v - C_M \leq h_{M,\varepsilon}(v-0) \leq h_{M,\varepsilon}(v+0) \leq (\sigma_M^\pm + \varepsilon) |v|^{p'-2} v + C_M \quad (15)$$

for  $v > (\text{or } <) 0$ , where  $\sigma_M^\pm = \frac{\pm 1}{M^{p'-1}} h(\pm M)$ , and hence

$$H_{M,\varepsilon}(v) > (\sigma_M + \varepsilon) \frac{|v|^{p'}}{p'} - C_M |v| \quad (16)$$

where  $\sigma_M = \min\{\sigma_M^+, \sigma_M^-\}$ . Substituting (15), (16) into (14), we have

$$\left(\frac{1}{p'} - \frac{1}{2}\right)(\sigma_M + \varepsilon) \int_\Omega |v_n|^{p'} - \frac{3}{2} \int_\Omega C_M |v_n| \leq \alpha_2 + \frac{1}{2} \lambda(v_n) \|v_n\|_{L^{p'}}.$$

Thus,

$$\|v_n\|_{L^{p'}} \leq C. \quad (17)$$

(2) Secondly, since  $v_n$  possesses a weakly convergent subsequence, which we still denote by  $v_n$ , suppose  $v_n \rightharpoonup v^*$ , the following relation:

$$\lim_{n, m \rightarrow \infty} \int_\Omega \xi_n \cdot v_m = - \int_\Omega K v^* \cdot v^* \quad (18)$$

follows from (13) and the compactness of  $K$ .

(3) Finally, we prove the subsequence  $v_n$  converges to  $v^*$  strongly in  $L^{p'}$ . If not,  $\delta > 0$  and subsequences  $\{v_{n_i}\}, \{v_{m_i}\}$  such that

$$\|v_{n_i} - v_{m_i}\|_{L^{p'}} > \delta \quad \forall i.$$

On the one hand, (18) implies

$$\int (\xi_{n_i} - \xi_{m_i})(v_{n_i} - v_{m_i}) \rightarrow 0 \quad \text{as } i \rightarrow \infty. \quad (19)$$

On the other hand, since  $h_M(v)$  is nondecreasing,

$$\begin{aligned} \int_{\Omega} (\xi_{n_i} - \xi_{m_i})(v_{n_i} - v_{m_i}) &= \int_{\{y \in \Omega \mid v_{n_i}(y) > v_{m_i}(y)\}} + \int_{\{y \in \Omega \mid v_{n_i}(y) < v_{m_i}(y)\}} \\ &> \varepsilon \int_{\Omega} (|v_{n_i}|^{p'-2} v_{n_i} - |v_{m_i}|^{p'-2} v_{m_i})(v_{n_i} - v_{m_i}) \\ &= \varepsilon \int_{\Omega} |\lambda v_{n_i}(y) + (1-\lambda)v_{m_i}(y)|^{p'-2} (v_{n_i}(y) - v_{m_i}(y))^2 \\ &> \frac{\varepsilon \left( \int_{\Omega} |v_{n_i}(y) - v_{m_i}(y)|^{p'} \right)^{\frac{2}{p'}}}{\left( \int_{\Omega} |\lambda v_{n_i}(y) + (1-\lambda)v_{m_i}(y)|^{p'} \right)^{\frac{2-p'}{p'}}} > \frac{\varepsilon \delta^2}{C^{2-p'}} > 0 \quad (\text{Holder inequality}) \end{aligned}$$

for some  $\lambda \in (0,1)$ . This contradicts (19). Thus  $v_n \rightarrow v^*$  in  $L^{p'}$  strongly.

Verification of the condition:

$$f_{M,\varepsilon}(v)|_{S_r} > \rho > 0, \quad \text{for small } r > 0,$$

where  $\rho$  is a constant, independent of  $\varepsilon$ , and  $S_r$  is the sphere with radius  $r$ .

According to the assumption  $(G_3)$ , we have

$$\lim_{v \rightarrow 0} \frac{H_M(v)}{v^2} = +\infty. \quad (21)$$

Combining (21) with (16) yields

$$H_M(v) \geq \begin{cases} c_\delta v^2 & \text{if } |v| < \delta \\ \delta c_\delta |v| & \text{if } \delta < |v| < T \\ \frac{\sigma_M}{2} |v|^{p'} & \text{if } |v| > T \end{cases}$$

where  $c_\delta \rightarrow +\infty$  as  $\delta \rightarrow 0$ , and  $T = \left( \frac{C_M}{(\frac{1}{p'} - \frac{1}{2})\sigma_M} \right)^{\frac{1}{p'-1}}$ . By (4)

$$f_{M,\varepsilon}(v) \geq -\frac{C_1}{2} \|v\|_{L^1}^2 + c_\delta \int_{|v|<\delta} v^2 + \delta c_\delta \int_{\delta<|v|<T} |v| + \frac{\sigma_M}{2} \int_{|v|>T} |v|^{p'}.$$

Since

$$\left( \int_{|v|<\delta} |v|^2 \right) \leq \text{mes}(\Omega) \int_{|v|<\delta} |v|^2,$$

it follows that

$$\int_{|v|>T} |v| \leq \text{mes}(\Omega)^{\frac{1}{p'}} \left( \int_{|v|>T} |v|^{p'} \right)^{\frac{1}{p'}},$$

$$\int_{|v|<\delta} |v|^{p'} \leq \left( \int_{|v|<\delta} v^2 \right)^{\frac{p'}{2}} \text{mes}(\Omega)^{1-p'/2},$$

$$\frac{1}{T^{p'-1}} \int_{\delta<|v|<T} |v|^{p'} \leq \int_{\delta<|v|<T} |v|,$$

and that  $\int_{\Omega} |v| < 1$  for  $\left( \int_{\Omega} |v|^{p'} \right)^{\frac{1}{p'}} = r$  with  $r$  sufficiently small.

Firstly, we fix  $\delta > 0$  such that  $c_\delta > \frac{3}{2} C_1 \text{mes}(\Omega) + \text{mes}(\Omega)^{\frac{2}{p'}-1}$  and then choose  $r$  small enough such that

$$\sigma C_\delta \int_{\delta < |v| < T} |v| - \frac{3}{2} C_1 \left( \int_{\delta < |v| < T} |v| \right)^2 > \left( \int_{\delta < |v| < T} |v|^{p'} \right)^{\frac{2}{p'}}$$

$$\frac{\sigma_M}{2} \int_{|v| > T} |v|^{p'} - \frac{3}{2} C_1 \left( \int_{|v| > T} |v| \right)^2 > \left( \int_{|v| > T} |v|^{p'} \right)^{\frac{2}{p'}}.$$

It follows that

$$\begin{aligned} f_{M,\varepsilon}(v) &> \left( \int_{|v| < \delta} |v|^{p'} \right)^{\frac{2}{p'}} + \left( \int_{\delta < |v| < T} |v|^{p'} \right)^{\frac{2}{p'}} + \left( \int_{|v| > T} |v|^{p'} \right)^{\frac{2}{p'}} \\ &> C_p \left( \int_{\Omega} |v|^{p'} \right)^{\frac{2}{p'}} = C_p r^2 \quad \text{for } \|v\|_{L^{p'}} = r \end{aligned}$$

where  $C_p$  is a constant depends on  $p$ . Let  $\rho = C_p r^2$ , which is a constant independent of  $\varepsilon$ .

#### Verification of the condition

$\exists e \in E$  such that  $f_{M,\varepsilon}(e) = 0$  with  $e > r$ .

In fact, taking  $e_t = t\varphi_1$ , where  $\varphi_1$  is an eigenfunction of  $K$ , associated with a negative eigenvalue, let  $\lambda_1 = -\int K \varphi_1 \varphi_1 > 0$ . Therefore  $f_{M,\varepsilon}(e_t) < -\frac{\lambda_1}{2} t^2 + \frac{t^{p'}}{p'} (\sigma'_M + \varepsilon) \int |\varphi_1|^{p'} + C_M t \int |\varphi_1| \rightarrow -\infty$ , as  $t \rightarrow \infty$ ,

where  $\sigma'_M = \frac{1}{M^{p'-1}} \max\{h(M) - h(-M)\}$ . Thus  $\exists$  a  $t > 0$  such that

$$f_{M,\varepsilon}(t\varphi_1) = 0, \quad \text{with } \|t\varphi_1\| > r.$$

Applying the mountain pass lemma, there exists a critical point  $v_{M\varepsilon}$  of  $f_{M\varepsilon}$  such that

$$f_{M,\varepsilon}(v_{M,\varepsilon}) > \rho.$$

#### 4° Return to the unmodified problem

By the characterization of the critical value

$$f_{M,\varepsilon}(v_{M,\varepsilon}) < \max_{se \in [0,1]} f_{M,\varepsilon}(se) < C, \quad (22)$$

where constant  $C$  does not depend on  $\varepsilon$  or  $M$ . In fact, with no loss of generality, we assume  $0 < \theta < 1/2$  and  $C_0 > 1$  in the assumption  $(G_2)$ ,

i.e.,  $G(u) < \theta u g(u-0)$  as  $t > C_0$ .

By an approximation procedure using differentiable functions, we see

$$C_1 u^{\frac{1}{\theta}} < G(u) \quad \text{where } C_1 \text{ is a constant.}$$

Thus

$$g(u-0) > \frac{C_1}{\theta} u^{\frac{1}{\theta}-1} > \frac{C_1}{\theta} u^{p-1} \quad (23)$$

and we have

$$h(v+0) < C_2 v^{p'-1} \quad (24)$$

where  $C_2 = \left(\frac{\theta}{C_1}\right)^{p'-1}$ . This implies

$$H(v) < C_2 v^{p'} + C_3 \quad \forall v > 0.$$

Similarly, for  $v < 0$ , we have

$$h(v-0) > -C_2 |v|^{p'-1} \quad (24)'$$

and

$$H(v) < C_2 |v|^{p'-1} + C_3.$$

Since

$$H_M(v) = \begin{cases} H(v) & \text{if } |v| < M \\ H(v) \pm h(\pm M) \left[ |v \pm M| + \frac{1}{p'M^{p'-1}} |v \pm M|^{p'} \right] & \text{if } |v| > M \end{cases}$$

we have

$$H_M(v) < C_4 |v|^{p'} + C_5 \quad (25)$$

where  $C_4$  and  $C_5$  are constants, independent of  $M$ . Substituting (25) into (23), we see

$$\begin{aligned} f_{M,\varepsilon}(v_{M,\varepsilon}) &\leq \max_{s \in [0,1]} f_{M,\varepsilon}(se) \leq \max_{t \in [0,\infty]} f_{M,\varepsilon}(t\varphi_1) \\ &\leq \max_{t \in [0,\infty)} \left[ -\frac{\lambda_1}{2} t^2 + C_6(C_4+1)t^{p'} + C_7 \right] \leq C_8. \end{aligned}$$

The constant  $C_8$  is independent of  $\varepsilon$  and  $M$  i.e. (22) holds.

Repeating the procedure performed in verifying the P.S. condition, we obtain

$$\|v_{M,\varepsilon}\|_{L^{p'}} \leq C \quad (C \text{ does not depend on } \varepsilon \text{ and } M \text{ too}).$$

Therefore there is a weakly convergent subsequence  $v_{\varepsilon_i} \rightharpoonup v_M$ , with

$\|v_M\|_{L^{p'}} \leq C$ . We are going to prove that  $v_M$  is a nontrivial critical point of  $f_{M,0}$ . In fact, by the monotonicity of  $h_M$ , we have

$$\int (\xi_i - \xi)(v_{\varepsilon_i} - \zeta) > 0 \quad \forall \zeta \in E$$

for each  $\xi_i \in \hat{h}_M(v_{\varepsilon_i})$ ,  $\xi \in \hat{h}_M(\zeta)$ . Since

$$\exists \chi \in N(A) \text{ such that } \chi \in K v_{M,\varepsilon} + \hat{h}_{M,\varepsilon}(v_{M,\varepsilon})$$

we have

$$\int (-K v_{\varepsilon_i} - \varepsilon_i |v_{\varepsilon_i}|^{p'-2} v_{\varepsilon_i} - \xi)(v_{\varepsilon_i} - \zeta) > 0.$$

Set  $\zeta = \zeta_M = v_M + t\eta$ ,  $\forall \eta \in E$ . Due to the weakly upper semi-continuity of the set-valued map  $\zeta_M \mapsto \hat{h}_M(\zeta_M)$  (cf. [4] §1, prop. (6)), we get

$\xi_M \in \hat{h}_M(v_M)$  such that

$$\int (K v_M + \xi_M)\eta > 0 \quad \forall \eta \in E$$

i.e.  $\exists \chi \in N(A)$  such that

$$\chi \in K v_M + \hat{h}_M(v_M).$$

According to the convexity of  $H_M$  and the lower semi-continuity of  $\int H_M(v)$ , it follows

$$f_{M,0}(v_M) = \lim_{i \rightarrow \infty} f_{M,\varepsilon_i}(v_{\varepsilon_i}) > \rho > 0.$$

Lastly in order to get rid of the influence of  $M$ , we shall prove the following

5° a priori estimate:  $\exists$  constant  $C$ , independent of  $M$  such that

$$\|v_M\|_{L^\infty} < C. \quad (26)$$

If (26) holds, then  $v_M$  is a solution of the problem II for  $M > C$ .

It has already been proved that

$$\|v_M\|_{L^{p'}} < C; \quad (27)$$

and by (24) and (24')

$$|h(v \pm 0)| < C_2 |v|^{p'-1}.$$

Thus

$$|h_M(v \pm 0)| < C_3 |v|^{p'-1}$$

which implies

$$\|h_M(v_M \pm 0)\|_{L^p} < C_4. \quad (28)$$

Moreover, it follows from (4) and (27) that

$$\|K v_M\|_{L^\infty} < C_5 \quad (29)$$

so we obtain

$$\|x_M\|_{L^p} < C_6 \quad \text{for } x_M \in K v_M + \hat{h}_M(v_M). \quad (30)$$

By the definition of  $h_M$ , in order to get the estimate (26), we only need an

$L^\infty$  a priori bound for  $x_M$ , i.e.

$$\|x_M\|_{L^\infty} < C_7 \quad (31)$$



According to [6]

$$\chi_M(x, t) = q_M(x + t) - q_M(t - x) \quad (32)$$

where

$$q_M(t) = \frac{1}{2\pi} \int_0^\pi [\chi_M(x, t-x) - \chi_M(x, t+x)] dx. \quad (33)$$

We know  $q_M \in L^p(0, 2\pi)$  from (30), so that

$$\|q_M\|_{L^1(0, 2\pi)} < C_8.$$

It is easily seen that

$$\int_0^\pi [v_M(x, t-x) - v_M(x, t+x)] dx = 0 \quad \text{for a.e. } t \quad (34)$$

and from (29) and (32) we have

$$-C_5 + q_M(t+x) - q_M(t-x) \leq u_M(x, t) \leq C_5 + q_M(t+x) - q_M(t-x).$$

Let  $g_M$  be the inverse function of  $h_M$ , which coincides with  $g$  before truncation

$$g_M(-C_5 + q_M(t+x) - q_M(t-x)-0) \leq v_M(x, t) \leq g_M(C_5 + q_M(t+x) - q_M(t-x)+0).$$

One deduces from (34) that

$$\int_0^{2\pi} \tilde{g}_M(-C_5 + q_M(t) - q_M(s)) ds \leq 0 \quad \text{a.e. } t. \quad (35)$$

where  $\tilde{g}_M(u) = g_M(u-0) - g_M(-u+0)$  is an odd increasing function. Fixing  $t$ , such that  $q_M(t) > 0$  we get

$$\tilde{g}_M(-C_5 + q_M(t) - q_M(s)) > \tilde{g}_M\left(\frac{q_M(t)}{2}\right) \quad \text{if} \quad q_M(s) + C_5 \leq \frac{q_M(t)}{2}$$

$$\tilde{g}_M(-C_5 + q_M(t) - q_M(s)) > -\tilde{g}_M(q_M(s) + C_5) \quad \text{if} \quad q_M(s) + C_5 > \frac{q_M(t)}{2}.$$

Substituting these two inequalities into (35), one obtains

$$\tilde{g}_M\left(\frac{q_M(t)}{2}\right) \text{mes}\{s \in (0, 2\pi) | q_M(s) \leq \frac{q_M(t)}{2} - C_5\} \leq \int_0^{2\pi} \tilde{g}_M(q_M(s) + C_5) ds \quad \text{a.e. } t \quad (36)$$

Since  $q_M \in L^{p-1}(0, 2\pi)$  and  $\tilde{g}_M$  is of the growth power  $p-1$ , the RHS of (36) is bounded by a constant  $C_M$ .

Firstly, we prove  $q_M \in L^\infty(0, 2\pi)$ . In fact,

$$\exists u_0 \text{ such that } \tilde{g}_M(u_0) > \frac{C_M}{\pi}$$

$$\exists u_1 \text{ such that } \text{mes}\{s \in (0, 2\pi) | q_M(s) < u_1\} > \pi.$$

Let  $n = \max\{2u_0, 2(u_1 + C_5)\}$ . If  $\text{ess sup}_{t \in (0, 2\pi)} q_M(t)$  were not bounded, then the set  $S = \{t \in (0, 2\pi) | q_M(t) > n\}$  would not be a null set. But for  $t \in S$ , (36) cannot hold. This is a contradiction. Similarly, we prove that  $\text{ess inf}_{t \in (0, 2\pi)} q_M(t)$  is bounded too.

Lastly, set

$$\mu_M = \text{ess sup}_{t \in (0, 2\pi)} q_M(t),$$

we shall prove  $\mu_M$  is bounded in  $M$ . Let  $\tilde{\Sigma} = \{s \in (0, 2\pi) | q_M(s)$

$$> \frac{\mu_M}{2}\} \dots \text{Then } \text{mes}(\tilde{\Sigma}) < \frac{2C_8}{\mu_M}, \text{ i.e. } \text{mes}(C \setminus \tilde{\Sigma}) > 2\pi - \frac{2C_8}{\mu_M}. \text{ Let}$$

$t \in T = \{t \in (0, 2\pi) | q_M(t) > \mu_M - 1\}$ . This is not a null set. Substituting into (35), we get

$$(2\pi - \frac{2C_8}{\mu_M}) \tilde{g}_M(-C_5 - 1 + \frac{\mu_M}{2}) < 2\pi \tilde{g}_M(C_5 + 1).$$

This proves  $\mu_M$  is bounded. Similarly, we estimate  $\text{ess inf}_{t \in (0, 2\pi)} q_M(t)$  i.e.

$$\|q_M\|_{L^\infty} < C_9, \text{ it implies (31) provided by (32).}$$

The proof is complete.

**Remark 1** The above method can be extended to attack the following more general problem:

$$\begin{cases} u_{tt} - u_{xx} + g(t,x,u) = 0 & \text{for } (x,t) \in (0,\pi) \times (0,T) \\ u(0,t) = u(\pi,t) = 0 \\ u(x,0) = u(x,T) \end{cases}$$

where  $T = \frac{2\pi}{\lambda}$ ,  $\lambda$  is a rational number, and  $g(t,x,u)$  is a Baire measurable function defined on  $(0,\pi) \times (0,T) \times \mathbb{R}^1$ , satisfying the following conditions

(G<sub>1</sub>)'  $g(t,x,0) = 0$ , and for fixed  $(t,x)$ ,  $g(t,x,\cdot)$  is strictly increasing in  $u$ .

(G<sub>2</sub>)'  $\exists \theta \in [0, 1/2)$  and a constant  $C_0 > 0$  such that

$$G(t,x,u) = \int_0^u g(t,x,s) ds < \begin{cases} \theta u g(t,x,u-0) & \text{for } u > C_0 \\ \theta u g(t,x,u+0) & \text{for } u < -C_0. \end{cases}$$

(G<sub>3</sub>)'  $\lim_{u \rightarrow 0} \frac{g(t,x,u)}{u} = 0$  uniformly with respect to

$$(t,x) \in (0,\pi) \times (0,T).$$

(G<sub>4</sub>)'  $g(t,x,u)$  is optimal in the sense discussed in [3].

Remark 2. We would like to emphasize a difference between [2] and our work. In [2], under slightly weaker growth conditions than (G<sub>2</sub>) and G<sub>3</sub>), Brezis, Coron and Nirenberg have proved the existence of a nontrivial solution for periods which are small rational multiples of  $2\pi$ . If  $g(t,x,u)$  does not depend on  $t$ , of course such a solution is also a  $2\pi$  periodic solution. But, if  $g(t,x,u)$  does depend on  $T$ , their method does not seem to work.

We wish to express our grateful thanks to Professor P. Rabinowitz for his kindly help.

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